

FEB 08 2006

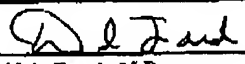
Doc


PTO/SB/21 (09-04)
Approved for use through 07/31/2008. OMB 0651-0001

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM VIA FACSIMILE (571) 273-8300 <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/803,409	
	Filing Date	March 17, 2004	
	First Named Inventor	VON BORSTEL, Reid	
	Art Unit	1731	
	Examiner Name	MAYES, Dionne Walls	
Total Number of Pages in This Submission	25	Attorney Docket Number	12382-4

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks The Amendment submitted herewith is a Supplemental Amendment in response to Office Action dated October 11, 2005 and supplements Response to Office Action filed on November 16, 2005.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	SHELDON & MAK PC 225 South Lake Avenue, Suite 900, Pasadena, California 91101	
Signature		
Printed name	David A. Farah, M.D.	
Date	February 8, 2006	Reg. No. 38,134

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Marilyn Palk	Date	February 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

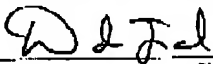
**RECEIVED
CENTRAL FAX CENTER**

FEB-08-2006 13:51 FROM:

FEB 08 2006

TO: USPTO

P.2

AMENDMENT TRANSMITTAL LETTER (Small Entity)					Docket No. 12382-4	
Applicant(s): VON BORSTEL, Reid et al.						
Application No. 10/803,409	Filing Date March 17, 2004	Examiner MAYES, Dionne Walls	Customer No. 23676	Group Art Unit 1731	Confirmation No. 5555	
Invention: FILTER CONTAINING A METAL PHTHALOCYANINE AND A POLYCATIONIC POLYMER						
COMMISSIONER FOR PATENTS:						
Transmitted herewith is an amendment in the above-identified application.						
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27						
The fee has been calculated and is transmitted as shown below.						
CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	145 -	165 =	0	x \$25.00	\$0.00	
INDEP. CLAIMS	8 -	7 =	1	x \$100.00	\$100.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$100.00	
<input type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. 19-2090 in the amount of \$100.00 <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2090 <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
 _____ <i>Signature</i>			Dated: February 8, 2006			
David A. Farah, M.D. SHELDON & MAK PC 225 South Lake Avenue, 9th Floor Pasadena, California 91101 Tel.: (626) 796-4000 Fax: (626) 795-6321 E-mail: davidf@uslp.com			<div style="border: 1px solid black; padding: 5px;"> I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ _____ <i>(Date)</i> </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> Signature of Person Mailing Correspondence VIA FACSIMILE (571) 273-8300 Typed or Printed Name of Person Mailing Correspondence </div>			
cc: Filligent Limited						

P115MALLREV09

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

FEB 08 2006

10/803,409

12382-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

VON BORSTEL, Reid W.

Serial No.: 10/803,409

Filed: March 17, 2004

For: Filter Containing a Metal
Phthalocyanine and a Polycationic
Polymer

) Group Art Unit: 1731

)

) Examining Attorney: MAYES, Dionne Walls

)

) Confirmation No. 5555

)

)

) Via Facsimile (571) 273-8300

)

) Pasadena, California

)

SUPPLEMENTAL AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 2231301450

Dear Sir:

This is a supplementary amendment supplementing the Response to Office filed on November 16, 2005 responding to the Office Action dated October 11, 2005. Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 21 of this paper.

J:\Nelligan\12382-4\31 Amendment.wpd

1

BEST AVAILABLE COPY

02/10/2006 WABDELRI 00000040 192090 10803409
01 FC:2201 100.00 DA